

# Ramsey's theorem for pairs and provable recursive functions

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# Outline

## 1 Introduction

- Reverse Mathematics
- Strength of Ramsey's theorem

## 2 Theorem

- Elimination of Skolem functions for monotone formulas
- Comparison of proof-techniques

## 3 Recent work

# Ramsey's Theorem

Let  $[\mathbb{N}]^k$  be the set of unordered  $k$ -tuples of natural numbers.  
A  $n$ -coloring of  $[\mathbb{N}]^k$  is a map of  $[\mathbb{N}]^k$  into  $\mathbf{n}$ .

## Definition ( $\text{RT}_n^k$ )

For every  $n$ -coloring of  $[\mathbb{N}]^k$   
exists an infinite *homogeneous* set  $H \subseteq \mathbb{N}$   
(i.e. the coloring is constant on  $[H]^k$ ).

## Lemma

$\text{RT}_n^k \leftrightarrow \text{RT}_{n'}^k$  for all  $n, n' \in \mathbb{N} \setminus \{1\}$ .

$\text{RT}_{<\infty}^k$  is defined as  $\forall n \text{RT}_n^k$ .

# Reverse Mathematics

Seeks to find which axioms over second order arithmetic are needed to prove theorems. Usually consider the *big five* subsystems of second order arithmetic:

**RCA<sub>0</sub>**  $\Sigma_1^0$ -IA + recursive comprehension

1st order part:  $\Sigma_1^0$ -induction

2nd order part: recursive sets

**WKL<sub>0</sub>** RCA<sub>0</sub> + Weak König's Lemma

1st order part: like RCA<sub>0</sub>

2nd order part: low sets

**ACA<sub>0</sub>** RCA<sub>0</sub> + arithmetic comprehension

1st order part: arithmetic induction

2nd order part: arithmetic sets (includes WKL)

**ATR<sub>0</sub>** ACA<sub>0</sub> + arithmetical transfinite recursion

**$\Pi_1^1$ -CA<sub>0</sub>** ACA<sub>0</sub> +  $\Pi_1^1$ -comprehension

Weaker systems:

**RCA<sub>0</sub><sup>\*</sup>** QF-IA + recursive comprehension + exponential function

**WKL<sub>0</sub><sup>\*</sup>** RCA<sub>0</sub><sup>\*</sup> + Weak König's Lemma

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# Strength of Ramsey's theorem

- $\text{RT}_n^1$  is derivable in pure logic.
- $\text{RT}_{<\infty}^1$  is the *infinite pigeonhole principle*.

$$\text{RT}_{<\infty}^1 \leftrightarrow \Pi_1^0\text{-CP}$$

$\Pi_3^0$ -conservative over  $\text{RCA}_0$ . (Hirst, Friedman)

Especially  $\text{RT}_{<\infty}^1$  cause only primitive recursive growth.



$$\text{RT}_n^k \leftrightarrow \text{ACA}_0$$

for  $k \geq 3$  and  $n \geq 2$ . (Simpson)

# Strength of Ramsey's theorem for pairs

## Theorem (Hirst)

- $\text{RT}_2^2 \rightarrow \Pi_1^0\text{-CP}$
- $\text{RT}_{<\infty}^2 \rightarrow \Pi_2^0\text{-CP}$

## Theorem (Jockusch)

*There exists a computable coloring,  
which has no in  $0'$  computable infinite homogeneous set.  
Especially  $\text{WKL}_0 \not\vdash \text{RT}_2^2$ .*

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## Theorem (Cholak, Jockusch, Slaman)

- Every computable coloring has an infinite homogeneous  $H$  set which is  $low_2$ , i.e.  $H'' \leq_T 0''$ .
- $RCA_0 + \Sigma_2^0\text{-IA} + RT_2^2$   
is  $\Pi_1^1$ -conservative over  $RCA_0 + \Sigma_2^0\text{-IA}$ .
- $WKL_0 + \Sigma_3^0\text{-IA} + RT_{<\infty}^2$   
is  $\Pi_1^1$ -conservative over  $RCA_0 + \Sigma_3^0\text{-IA}$ .

## Question (Cholak, Jockusch, Slaman)

- Does  $RT_2^2$  imply  $\Sigma_2^0\text{-IA}$  or the totality of the Ackermann-Function?
- Does  $RT_{<\infty}^2$  imply  $\Sigma_3^0\text{-IA}$ ?

We show

$WKL_0^* + \text{instances of } RT_2^2 + \text{instances of } \Sigma_1^0\text{-IA}$

does not prove the totality of the Ackermann-Function.

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# Main Result

For a schema  $\mathcal{S}$  let  $\mathcal{S}^-$  denote the schema restricted to instances which only have number parameters.

## Theorem (K., Kohlenbach)

For every fixed  $n$

$$G_\infty A^\omega + \text{QF-AC} + \text{WKL} + \Pi_1^0\text{-CA}^- + \text{RT}_n^{2^-}$$

is

- $\Pi_2^0$ -conservative over PRA,
- $\Pi_3^0$ -conservative over PRA +  $\Sigma_1^0$ -IA and
- $\Pi_4^0$ -conservative over PRA +  $\Pi_1^0$ -CP.

# Grzegorzczuk Arithmetic in all finite types ( $G_\infty A^\omega$ )

Corresponds to the (full) Grzegorzczuk hierarchy.

Contains

- quantifier free induction,
- bounded primitive recursion with function parameters,
- all primitive recursive functions,
- but **not all primitive recursive functionals**.

The function iterator is not contained.

## Remark

*The system  $RCA_0^*$  can be embedded into  $G_3 A^\omega + QF-AC$ .*

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## Remark

*The system  $RCA_0^*$  can be embedded into  $G_3 A^\omega + QF-AC$ .*

$$G_{\infty}A^{\omega} + \text{QF-AC} + \text{WKL} + \Pi_1^0\text{-CA}^{-}$$

## Lemma

$G_{\infty}A^{\omega} + \text{QF-AC} + \text{WKL} + \Pi_1^0\text{-CA}^{-}$  proves

- $\Pi_1^0\text{-IA}^{-}$ ,  $\Sigma_1^0\text{-IA}^{-}$ ,
- $\Pi_1^0\text{-AC}^{-}$ ,  $\Pi_1^0\text{-CP}^{-}$ ,
- $\Sigma_1^0\text{-WKL}^{-}$ ,
- $\text{BW}^{-}$  (*instances of Bolzano-Weierstrass*).

All these principles **cannot** be nested.

# Proof

## Theorem

For every fixed  $n$

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## Proof.

- 1 Show  $G_{\infty}A^{\omega} + \text{QF-AC} + \text{WKL} + \Pi_1^0\text{-CA}^{-}$  proves  $\text{RT}_n^{2-}$ .
- 2 Use elimination of Skolem functions to obtain conservation result.



## Reduction step

Analyze Erdős' and Rado's proof of  $\text{RT}_n^2$  based on full König's Lemma.

### Theorem (K., Kohlenbach)

$$\text{G}_\infty\text{A}^\omega + \Pi_1^0\text{-IA}^- \vdash \Sigma_1^0\text{-WKL}^- \rightarrow \text{RT}_n^{2^-}$$

for every fixed  $n$ .

### Corollary

$\text{G}_\infty\text{A}^\omega + \text{QF-AC} + \text{WKL} + \Pi_1^0\text{-CA}^-$  proves  $\text{RT}_n^{2^-}$ , for every fixed  $n$ .

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# Elimination of Skolem functions for monotone formulas

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# Elimination of Skolem functions for monotone formulas

Let  $\mathcal{T}^\omega := G_\infty A^\omega + \text{QF-AC} + \text{WKL}$ .

## Theorem (Kohlenbach)

For every closed term  $\xi$ :

$$\mathcal{T}^\omega \vdash \forall f : \mathbb{N}^{\mathbb{N}} (\Pi_1^0\text{-CA}(\xi(f)) \rightarrow \exists x \in \mathbb{N} A_{qf}(f, x))$$

$\Rightarrow$  one can extract a (Kleene-)primitive recursive functional  $\Phi$  s.t.

$$\text{PRA}^\omega \vdash \forall f : \mathbb{N}^{\mathbb{N}} A_{qf}(f, \Phi(f)).$$

Experience from proof-mining shows that many theorems from mathematics can be proved in this system.

# Elimination of Skolem functions for monotone formulas

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## Theorem (K., Kohlenbach)

Let  $\xi_1$  and  $\xi_2$  be closed terms and  $n$  be fixed.

$$\mathcal{T}^\omega \vdash \forall f (\Pi_1^0\text{-CA}(\xi_1(f)) \wedge \forall k \text{RT}_n^2(\xi_2(f, k)) \rightarrow \exists x \in \mathbb{N} A_{qf}(f, x))$$

$\Rightarrow$  one can extract a (Kleene-)primitive recursive functional  $\Phi$  s.t.

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## Bound on $n$

### Theorem (Jockusch)

*The exists a primitive recursive sequence of instances of  $\text{RT}_{<\infty}^2$  proving the totality of the Ackermann-function.*

### Theorem (K., Kohlenbach)

$$\text{G}_\infty\text{A}^\omega + \text{QF-AC} + \text{WKL} + \Pi_1^0\text{-CA}^- \not\leq \text{RT}_{<\infty}^{2-}$$

# Results

## Theorem (K., Kohlenbach)

For every fixed  $n$  a primitive recursive sequence of instance of  $\text{RT}_n^2$  does *not* prove the totality of the Ackermann-function. Especially

$$\text{G}_\infty\text{A}^\omega + \text{QF-AC} + \text{WKL} + \Pi_1^0\text{-CA}^- + \text{RT}_n^{2-} \not\vdash \Sigma_2^0\text{-IA}.$$

## Remark

This yields in the language of  $\text{RCA}_0$ :

$$\text{WKL}_0^* + \Pi_1^0\text{-CA}^- + \text{RT}_n^{2-} \not\vdash \Sigma_2^0\text{-IA}$$

# Comparison of proof-techniques

To prove that  $\mathcal{T}$  is arithmetical- /  $\Pi_2^0$ -conservative over  $\mathcal{T}'$

## reverse mathematics

- Take an arbitrary model  $\mathcal{M}$  of  $\mathcal{T}'$ .
- Extend  $\mathcal{M}$  to a model of  $\mathcal{T}$  without changing the arithmetical sentences (e.g. with syntactic forcing).
- The conservation results follows then from the completeness theorem.

## proof interpretation

- Take an arbitrary proof of a  $\Pi_2^0$ -statement

$$\mathcal{T} \vdash \forall x \exists y A(x, y).$$

- Obtain using the functional interpretation a term  $t$  realizing  $y$   $A$ , i.e.  $\forall x A(x, tx)$ .
- Eliminate the Skolem functions resulting from axioms in  $\mathcal{T} \setminus \mathcal{T}'$ .
- $\mathcal{T}' \vdash \forall x A(x, \tilde{t}x)$



## Recent results

Can the elimination of Skolem functions for monotone formulas be applied to nested instances of  $RT_2^2$  or to full  $RT_2^2$ ?

Split  $RT_2^2$  into COH and  $SRT_2^2$  (see CJS).

Theorem (details need to be check)

*For all closed terms  $\xi_1, \xi_2$  there exists a closed term  $\phi$  such that*

$$G_\infty A^\omega + \text{QF-AC} + \text{WKL} \vdash \forall f$$

$$(\Pi_1^0\text{-CA}(\phi f) \rightarrow \exists g \text{ (} g \text{ is a solution to COH}(\xi_1 f) \wedge \Pi_1^0\text{-CA}(\xi_2 f g)))$$

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Combining these theorem, proves nested instances of  $\text{RT}_2^2$ . A realizing term for a statement proven in this system is provably total in  $\text{PRA}^\omega + \Sigma_2^0\text{-IA}$ .

Methods used: monotone functional interpretation, bar recursion (Spector), uniform weak König's Lemma, normalization

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